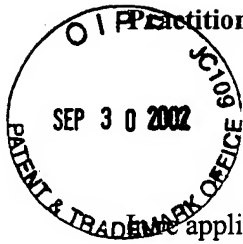


RECEIVED
 TECH CENTER 1600/2900
 SEP 05 2002



Practitioner's Docket No. 57094 (71526)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of M. Sakanaka, et al.

Application No.: 10/070,209

Filed: July 12, 2002

Group No.: 1615

Examiner: Unknown

For: BRAIN CELL-OR NERVE CELL-PROTECTING AGENTS COMPRISING MEDICINAL GINSENG

Assistant Commissioner for Patents

Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Note: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Signature

Maggie C. Hamelin
 Maggie C. Hamelin

Date September 24, 2002

(type or print name of person certifying)

(Request for Corrected Filing Receipt--page 1 of 2)

RECEIVED

NOV 05 2002

TECH CENTER 1600/2900

Error in

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other



Correct data

- 1.
- 2.
- 3 BRAIN CELL-OR NERVE CELL-PROTECTING
AGENTS COMPRISING MEDICINAL GINSENG
- 4.
- 5.
- 6.
- 7.

3. *(complete the following applicable item)*

- A. ☒ The correction(s) is not due to any error by applicant and no fee is due.

OR

- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. Section 1.19(h), of \$25.00 is paid as follows:

- ☐ Enclosed is check for \$25.00.
☐ Charge Account _____ the sum of \$25.00.

Reg. No.: 48,399

Tel. No.: (617) 439-4444

Fax No.: (617) 439-4170 / 7748

Customer No. 21874

BOS2_313900.1



21874

PATENT TRADEMARK OFFICE

SIGNATURE OF PRACTITIONER

John B. Alexander

(type or print name of practitioner)

EDWARDS & ANGELL, LLP

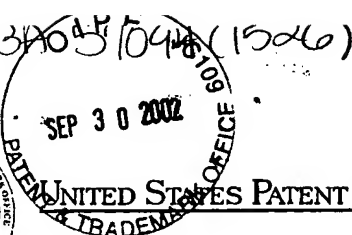
DIKE, BRONSTEIN, ROBERTS &

CUSHMAN – IP Group

P. O. Box 9169

Boston, MA 02209

P.O. Address



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/070,209	07/12/2002	1615	2166	57094 (71526)	33	23	16

21874

DIKE, BRONSTEIN, ROBERTS AND CUSHMAN,
 INTELLECTUAL PROPERTY PRACTICE GROUP
 EDWARDS & ANGELL, LLP.
 P.O. BOX 9169
 BOSTON, MA 02209

RECEIVED

CONFIRMATION NO. 9390

FILING RECEIPT

AUG 23 2002

EDWARDS & ANGELL LLP
 DIKE BRONSTEIN
 ROBERTS CUSHMAN

OC000000008646201

Date Mailed: 08/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masahiro Sakanaka, Ehime, JAPAN;
 Nobuji Maeda, Ehime, JAPAN;
 Junya Tanaka, Ehime, JAPAN;
 Kimihiko Nakata, Ehime, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/04102 06/22/2000

Foreign Applications

JAPAN 11243378 08/30/1999

RECEIVED
 NOV 05 2002
 TECH CENTER 1600/2900

Projected Publication Date: Not Applicable, filed prior to November 29,2000**Non-Publication Request:** No**Early Publication Request:** No**Title**

Brain cell or nerve cell protecting agents comprising ginseng

^

Preliminary Class

MEDICINAL

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).